

| PTO-1449  |                    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE  |           | ATTY. DOCKET NO.<br>T9574.NP   |          | SERIAL NO.<br>10/075,936      |  | RECEIVED<br>OCT 29 2002<br>TC 2800 MAIL ROOM |  |
|---|--------------------|---|-----------|--|----------|-------------------------------|--|--|--|
| LIST OF PRIOR ART CITED BY APPLICANT<br><div style="text-align: center; border: 1px solid black; border-radius: 50%; padding: 10px; width: 150px; margin: 0 auto;">             OIPE<br/>MAY 17 2002<br/>PATENT &amp; TRADEMARK OFFICE           </div> |                    |   |           | APPLICANT<br>Croft III et al   |          |                               |  |  |  |
|   |                    |   |           | FILING DATE<br>1-25-02   |          | GROUP<br>2838                 |  |  |  |
| <b>U.S. PATENT DOCUMENTS</b>  |                    |   |           |  |          |                               |  |  |  |
| EXAMINER<br>INITIALS  | DOCUMENT<br>NUMBER | DATE  | NAME      | CLASS  | SUBCLASS | FILING DATE<br>IF APPROPRIATE |  |  |  |
| <div style="font-size: 2em; font-family: cursive;">VLL</div>  | AA                 | 1,799,053   | 3/31/31   | Mache  |          |                               |  |  |  |
|   | AB                 | 1,951,669   | 3/20/34   | Ramsey   |          |                               |  |  |  |
|   | AC                 | 2,461,344   | 2/8/49    | Olson  |          |                               |  |  |  |
|   | AD                 | 2,855,467   | 10/7/58   | Curry  |          |                               |  |  |  |
|   | AE                 | 2,935,575   | 5/3/60    | Bobb   |          |                               |  |  |  |
|   | AF                 | 2,975,243   | 3/14/61   | Katella  |          |                               |  |  |  |
|   | AG                 | 3,008,013   | 11/7/61   | Williamson et al   |          |                               |  |  |  |
|   | AH                 | 3,012,222   | 12/5/61   | Hagemann   |          |                               |  |  |  |
|   | AI                 | 3,013,905   | 12/19/61  | Gamzon R.R. et al  |          |                               |  |  |  |
|   | AJ                 | 3,136,867   | 6/9/64    | Brettell   |          |                               |  |  |  |
|   | AK                 | 3,209,084   | 9/28/65   | Gamzon, R.R. et al   |          |                               |  |  |  |
|   | AL                 | 3,345,469   | 10/3/67   | Rod  |          |                               |  |  |  |
|   | AM                 | 3,373,251   | 3/12/68   | Seeler, C.E.   |          |                               |  |  |  |
|   | AN                 | 3,389,226   | 6/18/68   | Peabody  |          |                               |  |  |  |
|   | AO                 | 3,398,810   | 8/27/68   | Clark III  |          |                               |  |  |  |
|   | AP                 | 3,674,946   | 7/4/72    | Winey  |          |                               |  |  |  |
|   | AQ                 | 3,787,642   | 1/22/74   | Young Jr.  |          |                               |  |  |  |
| AR  | 3,833,771          | 9/3/74  | Collinson |  |          |                               |  |  |  |
| <b>FOREIGN PATENT DOCUMENT</b>  |                    |   |           |  |          |                               |  |  |  |
|   | DOCUMENT<br>NUMBER | DATE  | COUNTRY   | CLASS  | SUBCLASS | TRANSLATION<br>YES NO         |  |  |  |
| <div style="font-size: 2em; font-family: cursive;">VLL</div>  | AS                 | H2-265400   | 10/30/90  | Japan  |          |                               |  |  |  |
|   | AT                 | WO 01/08449   | 2/1/01    | EP   |          |                               |  |  |  |
|   | AU                 | WO 01/52437   | 7/19/01   | PCT  |          |                               |  |  |  |
|   | AV                 |   |           |  |          |                               |  |  |  |
|   | AW                 |   |           |  |          |                               |  |  |  |
| <b>OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)</b>   |                    |   |           |  |          |                               |  |  |  |
| <div style="font-size: 2em; font-family: cursive;">VLL</div>  | AX                 | ULTRASONIC RANGING SYSTEM by Polaroid Corporation   |           |  |          |                               |  |  |  |
|   | AY                 | PARAMETRIC LOUDSPEAKER - CHARACTERISTICS OF ACOUSTIC FIELD AND SUITABLE MODULATION OF CARRIER ULTRASOUND, Aoki, Kenichi, Kamakura, Tomoo and Kumamoto, Yoshiro, Electronics and Communications in Japan, Part 3, Vol. 74, No. 9, pp. 76-82 (1991) |           |  |          |                               |  |  |  |
|   | AZ                 | PARAMETRIC ACOUSTIC NONDIRECTIONAL RADIATOR, Makarov, et al, Acustica, Vol. 77, pp. 240-242 (1992)  |           |  |          |                               |  |  |  |
| EXAMINER  |                    |   |           | <div style="font-size: 1.5em; font-family: cursive;">[Signature]</div> |          | DATE CONSIDERED<br>3-7-04     |  |  |  |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance And not considered. Include copy of this form with next communication to Applicant.               |                    |   |           |  |          |                               |  |  |  |

|  |                                      |                          |                                    |
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| PTO-1449<br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>T9574.NP         | SERIAL NO.<br>10/075,936 | <b>RECEIVED</b><br><br>SEP 06 2002 |
|  | APPLICANT<br>Croft III et al         |                          |                                    |
|  | LIST OF PRIOR ART CITED BY APPLICANT | FILING DATE<br>1-25-02   | GROUP<br>2838                      |

## U.S. PATENT DOCUMENTS

| EXAMINER INITIALS |     | DOCUMENT NUMBER | DATE      | NAME           | CLASS      | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|-----|-----------------|-----------|----------------|------------|----------|----------------------------|
| VRL               | BA  | 3,836,951       | 9/17/74   | Geren et al    |            |          |                            |
|                   | BB  | 3,892,927       | 7/1/75    | Lindenberg     |            |          |                            |
|                   | BC  | 3,919,499       | 11/11/75  | Winey          |            |          |                            |
|                   | BD  | 3,941,946       | 3/2/76    | Kawakami et al |            |          |                            |
|                   | BE  | 4,056,742       | 11/1/77   | Tibbetts       |            |          |                            |
|                   | BF  | 4,064,375       | 12/20/77  | Russell et al  |            |          |                            |
|                   | BG  | 4,160,882       | 7/10/79   | Driver         |            |          |                            |
|                   | BH  | 4,210,786       | 7/1/80    | Winey          |            |          |                            |
|                   | BI  | 4,207,571       | 6/10/80   | Passey         |            |          |                            |
|                   | BJ  | 4,210,786       | 7/1/80    | Winey          |            |          |                            |
|                   | BK  | 4,245,136       | 1/13/81   | Krauel, Jr.    |            |          |                            |
|                   | BL  | 4,284,921       | 8/18/81   | Lemonon et al  |            |          |                            |
|                   | BM  | 4,295,214       | 10/13/81  | Thompson       |            |          |                            |
|                   | BN  | 4,378,596       | 3/29/83   | Clark          |            |          |                            |
|                   | BO  | 4,385,210       | 5/24/83   | Marquiss       |            |          |                            |
|                   | BP  | 4,418,404       | 11/29/83  | Gordon et al   |            |          |                            |
|                   | VRL | BQ              | 4,419,545 | 12/6/83        | Kuindersma |          |                            |
| BR                |     |                 |           |                |            |          |                            |

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## FOREIGN PATENT DOCUMENT

|  |    | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
|  | BS |                 |      |         |       |          | YES         | NO |
|  | BT |                 |      |         |       |          |             |    |
|  | BU |                 |      |         |       |          |             |    |
|  | BV |                 |      |         |       |          |             |    |
|  | BW |                 |      |         |       |          |             |    |

## OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

|     |    |   |
|-----|----|---|
| VRL | BX | THE AUDIO SPOTLIGHT: AN APPLICATION OF NONLINEAR INTERACTION OF SOUND WAVES TO A NEW TYPE OF LOUDSPEAKER DESIGN, Yoneyama, et al, J. Acoustical Society of America 73(5), May 1983, pages 1532-1536 |
|     | BY | ELECTROSTATIC LOUDSPEAKER DESIGN AND CONSTRUCTION, Chapters 4 and 5, pages 59-91, Wagner, Ronald, Audio Amateur Press Publishers, 1993  |
|     | BZ |   |

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|---|---------------------------|
| EXAMINER<br><i>E. Dabney</i>  | DATE CONSIDERED<br>3-7-04 |
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T9574.NPSERIAL NO.  
10/075,936

## LIST OF PRIOR ART CITED BY APPLICANT

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Croft III et alFILING DATE  
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## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIALS |    | DOCUMENT<br>NUMBER | DATE     | NAME                 | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|----|--------------------|----------|----------------------|-------|----------|-------------------------------|
| <i>W</i>             | CA | 4,429,193          | 1/31/84  | Busch-Vishniac et al |       |          |                               |
|                      | CB | 4,434,327          | 2/28/84  | Busch-Vishniac et al |       |          |                               |
|                      | CC | 4,439,642          | 3/27/84  | Reynard              |       |          |                               |
|                      | CD | 4,471,172          | 9/11/84  | Winey                |       |          |                               |
|                      | CE | 4,480,155          | 10/30/84 | Winey                |       |          |                               |
|                      | CF | 4,558,184          | 12/10/85 | Busch-Vishniac et al |       |          |                               |
|                      | CG | 4,593,160          | 6/3/86   | Nakamura             |       |          |                               |
|                      | CH | 4,593,567          | 6/10/86  | Isselstein et al     |       |          |                               |
|                      | CI | 4,672,591          | 6/9/87   | Breimesser et al     |       |          |                               |
|                      | CJ | 4,695,986          | 9/22/87  | Hossack              |       |          |                               |
|                      | CK | 4,703,509          | 10/27/87 | Kanchev              |       |          |                               |
|                      | CL | 4,751,419          | 6/14/88  | Takahata             |       |          |                               |
|                      | CM | 4,823,908          | 4/25/89  | Tanaka et al         |       |          |                               |
|                      | CN | 4,885,781          | 12/5/89  | Seidel               |       |          |                               |
|                      | CO | 4,887,246          | 12/12/89 | Hossack et al        |       |          |                               |
|                      | CP | 4,888,086          | 12/19/89 | Hossack et al        |       |          |                               |
|                      | CQ | 4,903,703          | 2/27/90  | Igarashi et al       |       |          |                               |
| <i>W</i>             | CR | 4,908,805          | 3/13/90  | Sprenkels et al      |       |          |                               |

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## FOREIGN PATENT DOCUMENT

|  |    | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|--------------------|------|---------|-------|----------|-------------|----|
|  | CS |                    |      |         |       |          | YES         | NO |
|  | CT |                    |      |         |       |          |             |    |
|  | CU |                    |      |         |       |          |             |    |
|  | CV |                    |      |         |       |          |             |    |
|  | CW |                    |      |         |       |          |             |    |

## OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

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|--|----|--|--|--|--|--|--|--|
|  | CX |  |  |  |  |  |  |  |
|  | CY |  |  |  |  |  |  |  |
|  | CZ |  |  |  |  |  |  |  |

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| PTO-1449<br><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>LIST OF PRIOR ART CITED BY APPLICANT | ATTY. DOCKET NO.<br>T9574.NP | SERIAL NO.<br>10/075,936 |
|  | APPLICANT<br>Croft III et al |                          |
|  | FILING DATE<br>1-25-02       | GROUP<br>2838            |

U.S. PATENT DOCUMENTS

| EXAMINER INITIALS |    | DOCUMENT NUMBER | DATE     | NAME           | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|----|-----------------|----------|----------------|-------|----------|----------------------------|
| [Signature]       | DA | 4,939,784       | 7/3/90   | Bruney         |       |          |                            |
|                   | DB | 4,991,148       | 2/5/91   | Gilchrist      |       |          |                            |
|                   | DC | 5,054,081       | 10/1/91  | West           |       |          |                            |
|                   | DD | 5,142,511       | 8/25/92  | Kanai et al    |       |          |                            |
|                   | DE | 5,153,859       | 10/6/92  | Chatigny et al |       |          |                            |
|                   | DF | 5,287,331       | 2/15/94  | Shindel et al  |       |          |                            |
|                   | DG | 5,297,214       | 3/22/94  | Bruney         |       |          |                            |
|                   | DH | 5,357,578       | 10/18/94 | Taniishi       |       |          |                            |
|                   | DI | 5,392,358       | 2/21/95  | Driver         |       |          |                            |
|                   | DJ | 5,487,114       | 1/23/96  | Dinh           |       |          |                            |
|                   | DK | 5,638,456       | 6/10/97  | Conley et al   |       |          |                            |
|                   | DL | 5,700,359       | 12/23/97 | Bauer          |       |          |                            |
|                   | DM | 5,850,461       | 12/15/98 | Zelinka        |       |          |                            |
|                   | DN | 5,901,235       | 5/4/99   | Thigpen et al  |       |          |                            |
|                   | DO | 5,961,762       | 10/5/99  | Zelinka et al  |       |          |                            |
| [Signature]       | DP | 6,154,557       | 11/28/00 | Montour et al  |       |          |                            |
|                   | DQ |                 |          |                |       |          |                            |
|                   | DR |                 |          |                |       |          |                            |

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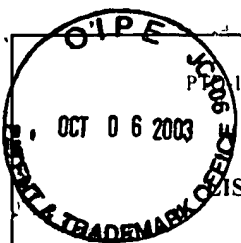
|  |    | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
|  |    |                 |      |         |       |          | YES         | NO |
|  | DS |                 |      |         |       |          |             |    |
|  | DT |                 |      |         |       |          |             |    |
|  | DU |                 |      |         |       |          |             |    |
|  | DV |                 |      |         |       |          |             |    |
|  | DW |                 |      |         |       |          |             |    |

OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

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|--|----|--|--|--|--|--|--|
|  | DX |  |  |  |  |  |  |
|  | DY |  |  |  |  |  |  |
|  | DZ |  |  |  |  |  |  |

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| EXAMINER<br>[Signature] | DATE CONSIDERED<br>3-7-07 |
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PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
T9574.NP

Serial No.: 10/075,936

LIST OF PRIOR ART CITED BY APPLICANT

APPLICANT Croft, et al.

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### U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIALS |     | DOCUMENT<br>NUMBER | DATE        | NAME           | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----|--------------------|-------------|----------------|-------|----------|-------------------------------|
| <i>[Signature]</i>   | A1  | 4,527,017          | 2 July 1985 | Kopinga et al. |       |          |                               |
|                      | A2  |                    |             |                |       |          |                               |
|                      | A3  |                    |             |                |       |          |                               |
|                      | A4  |                    |             |                |       |          |                               |
|                      | A5  |                    |             |                |       |          |                               |
|                      | A6  |                    |             |                |       |          |                               |
|                      | A7  |                    |             |                |       |          |                               |
|                      | A8  |                    |             |                |       |          |                               |
|                      | A9  |                    |             |                |       |          |                               |
|                      | A10 |                    |             |                |       |          |                               |
|                      | A11 |                    |             |                |       |          |                               |
|                      | A12 |                    |             |                |       |          |                               |

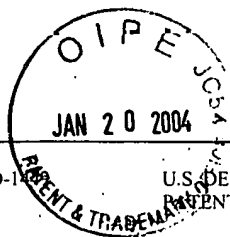
### FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIALS |     | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES NO |
|----------------------|-----|--------------------|------|---------|-------|----------|-----------------------|
|                      | A13 |                    |      |         |       |          |                       |
|                      | A14 |                    |      |         |       |          |                       |
|                      | A15 |                    |      |         |       |          |                       |
|                      | A16 |                    |      |         |       |          |                       |
|                      | A17 |                    |      |         |       |          |                       |

### OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

|                                |     |   |  |                           |  |  |  |
|--------------------------------|-----|---|--|---------------------------|--|--|--|
| <i>[Signature]</i>             | A18 | MMPA. Standard Specifications for Permanent Magnet Materials. MMPA Standard No. 0100-00. 1964 |  |                           |  |  |  |
|                                | A19 |   |  |                           |  |  |  |
|                                | A20 |   |  |                           |  |  |  |
| EXAMINER<br><i>[Signature]</i> |     |   |  | DATE CONSIDERED<br>3/7/04 |  |  |  |

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| PTO-101<br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE   |    | ATTY. DOCKET NO.<br>T9574.NP |               | SERIAL NO.<br>10/075,936 |  |          |                               |
| LIST OF PRIOR ART CITED BY APPLICANT<br><br><b>2<sup>ND</sup> SUPPLEMENTAL<br/>PRIOR ART</b>  |    | APPLICANT<br>Croft III et al |               |                          | <b>RECEIVED</b><br>JAN 27 2004<br>Technology Center 2600 |          |                               |
|   |    | FILING DATE<br>1-25-02       |               | GROUP<br>2838            |  |          |                               |
| U.S. PATENT DOCUMENTS   |    |                              |               |                          |  |          |                               |
| EXAMINER<br>INITIALS  |    | DOCUMENT<br>NUMBER           | DATE          | NAME                     | CLASS  | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|   | AA | 3,873,784                    | Mar. 25, 1975 | Doschek                  |  |          |                               |
|   | AB |                              |               |                          |  |          |                               |
|   | AC |                              |               |                          |  |          |                               |
|   | AD |                              |               |                          |  |          |                               |
|   | AE |                              |               |                          |  |          |                               |
|   | AF |                              |               |                          |  |          |                               |
|   | AG |                              |               |                          |  |          |                               |
|   | AH |                              |               |                          |  |          |                               |
|   | AI |                              |               |                          |  |          |                               |
|   | AJ |                              |               |                          |  |          |                               |
|   | AK |                              |               |                          |  |          |                               |
|   | AL |                              |               |                          |  |          |                               |
|   | AM |                              |               |                          |  |          |                               |
|   | AN |                              |               |                          |  |          |                               |
|   | AO |                              |               |                          |  |          |                               |
|   | AP |                              |               |                          |  |          |                               |
|   | AQ |                              |               |                          |  |          |                               |
| AR  |    |                              |               |                          |  |          |                               |
| FOREIGN PATENT DOCUMENT   |    |                              |               |                          |  |          |                               |
|   |    | DOCUMENT<br>NUMBER           | DATE          | COUNTRY                  | CLASS  | SUBCLASS | TRANSLATION<br>YES NO         |
|   | AS |                              |               |                          |  |          |                               |
|   | AT |                              |               |                          |  |          |                               |
|   | AU |                              |               |                          |  |          |                               |
|   | AV |                              |               |                          |  |          |                               |
|   | AW |                              |               |                          |  |          |                               |
| OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)  |    |                              |               |                          |  |          |                               |
|   | AX |                              |               |                          |  |          |                               |
|   | AY |                              |               |                          |  |          |                               |
|   | AZ |                              |               |                          |  |          |                               |
| EXAMINER<br>  |    |                              |               |                          | DATE CONSIDERED<br>3-7-04                                |          |                               |
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| PTO-1449<br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br><br>T9574.NP | SERIAL NO<br>10/075,936. |
| LIST OF PRIOR ART CITED BY APPLICANT<br><br>Supplemental Prior Art     | APPLICANT<br>Croft III, et al.   |                          |
|  | FILING DATE<br>1-25-02           | GROUP<br>2838            |

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## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIALS |    | DOCUMENT<br>NUMBER | DATE          | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|----|--------------------|---------------|------------------|-------|----------|-------------------------------|
| BL                   | AA | 3,829,623          | Aug. 13, 1974 | Willis et al.    |       |          |                               |
| BL                   | AA | 4,338,489          | Jul. 6, 1982  | Gorike           |       |          |                               |
| BL                   | AC | 4,468,530          | Aug. 28, 1984 | Torgeson         |       |          |                               |
| BL                   | AD | 4,803,733          | Feb. 7, 1989  | Carver et al.    |       |          |                               |
| BL                   | AE | 5,003,609          | Mar. 26, 1991 | Muraoka          |       |          |                               |
| BL                   | AF | 5,003,610          | Mar. 26, 1991 | Adachi, et al.   |       |          |                               |
| BL                   | AG | 5,430,805          | Jul. 4, 1995  | Stevenson et al  |       |          |                               |
| BL                   | AH | 5,953,438          | Sep. 14, 1999 | Stevenson et al. |       |          |                               |
|                      | AI |                    |               |                  |       |          |                               |
|                      | AJ |                    |               |                  |       |          |                               |
|                      | AK |                    |               |                  |       |          |                               |
|                      | AL |                    |               |                  |       |          |                               |
|                      | AM |                    |               |                  |       |          |                               |
|                      | AN |                    |               |                  |       |          |                               |
|                      | AO |                    |               |                  |       |          |                               |
|                      | AP |                    |               |                  |       |          |                               |
|                      | AQ |                    |               |                  |       |          |                               |
|                      | AR |                    |               |                  |       |          |                               |

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## OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance And not considered. Include copy of this form with next communication to Applicant.